The Emergence of morphomic structure in Romance-based Creoles

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Introduction

Inflectional morphology in Indo-Portuguese What morphology? Origin in the lexifier

Inflectional morphology in Mauritian What morphology? Origin in the lexifier

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Introduction

- ▶ Part of a larger study of inflection in Romance-based Creoles.
- Issues we addressed in previous work:
 - Existence of inflectional morphology in Indo-Portuguese (Luís, 2008) and Mauritian (Becker and Veenstra, 2003; Henri, 2010)
 - Existence of morphomic phenomena (Aronoff, 1994), that is, purely morphological phenomena that are not the direct expression of syntax, semantics, or phonology (Luís, 2008; Henri, 2010)
 - Quantitative evaluation of the complexity (Bonami et al., 2011)
- Here we address a slightly different set of issues:
 - Given that these creoles have inflectional morphology, what is the origin of that morphology? Lexifier, substrate, or innovation?
 - Can the study of the lexifier shed light on the creole system?
- Guiding idea: creolization as untutored Second Language Acquisition (e.g. Andersen, 1983; Plag, 2008; Siegel, 2008; Luís, 2008)

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Small paradigms, inflection classes

- Verbal paradigms in Indo-Portuguese creoles contain a small number of verb forms
 - Despite the reduced size of paradigms, verb forms are organised into inflection classes.

	kanta	kume	subi	beblu			
	'sing'	'eat'	'go up'	'mutter'			
BASE	kant <mark>a</mark>	kum <mark>e</mark>	subi	bebl u			
PAST	kant-o	kum <mark>e</mark> -u	subi-u	beblu			
PROGRESSIVE	kant <mark>a</mark> -n	kum <mark>e</mark> -n	subi-n	bebli-n			
COMPLETIVE	kant <mark>a</mark> -d	kum i -d	subi-d	beblu-d			
Daman Creole Portuguese							

(adapted from (Clements and Koontz-Garboden, 2002))

 Inflection classes are signalled by theme vowels which constitute genuine morphomes and are therefore visible to inflectional processes

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- Portuguese verbal paradigm: 66 cells
- ▶ 3 conjugation classes, each with its own perceptible theme vowel
- Number of features expressed by inflected verbs: person, number, ► tense, mood and aspect (and gender, for Participles)

lavar 'wash' (class1)

TAM	1SG	2SG	3SG	1PL	2PL	3PL
IND.PRS	lav- <mark>o</mark>	lav <mark>a</mark> -s	lav <mark>a</mark>	lav <mark>a</mark> -mos	lav <mark>a</mark> -is	lav <mark>a</mark> -m
IND.PST.IPFV	lav <mark>a</mark> -va	lav <mark>a</mark> -vas	lav <mark>a</mark> -va	lav <mark>á</mark> -vamos	lav <mark>a</mark> -veis	lav <mark>a</mark> -vam
IND.PST.PFV	lav- <mark>ei</mark>	lav <mark>a</mark> -ste	lav <mark>ou</mark>	lav <mark>á</mark> -mos	lav <mark>a</mark> -stes	lav <mark>a</mark> -ram
IND.PST.PRF	lav <mark>á</mark> -ra	lav <mark>á</mark> -ras	lav <mark>á</mark> -ra	lav <mark>á</mark> -ramos	lav <mark>á</mark> -reis	lav <mark>á</mark> -ram
IND.FUT	lav <mark>a</mark> -rei	lav <mark>a</mark> -rás	lav <mark>a</mark> -rá	lav <mark>a</mark> -remos	lav <mark>a</mark> -reis	lav <mark>a</mark> -rão
SBJV.PRS	lav- <mark>e</mark>	lav <mark>e</mark> -s	lav <mark>e</mark>	lav <mark>e</mark> -mos	lav <mark>e</mark> -is	lav <mark>e</mark> -m
SBJV.PST	lav <mark>a</mark> -sse	lav <mark>a</mark> -sses	lav <mark>a</mark> -sse	lav <mark>á</mark> -ssemos	lav <mark>a</mark> -sseis	lav <mark>a</mark> -ssem
SBJV.FUT	lav <mark>a</mark> -r	lav <mark>a</mark> -res	lav <mark>a</mark> -r	lav <mark>a</mark> -rmos	lav <mark>a</mark> -rdes	lav <mark>a</mark> -rem
COND	lav <mark>a</mark> -ria	lav <mark>a</mark> -rias	lav <mark>a</mark> -ria	lav <mark>a</mark> -ríamos	lav <mark>a</mark> -ríeis	lav <mark>a</mark> -riam
IMP		lav <mark>a</mark>	lav <mark>e</mark>	lav <mark>e</mark> -mos	lav <mark>a</mark> -i	lav <mark>e</mark> -m
INF.PERS	lava- r	lav <mark>a</mark> -res	lav <mark>a</mark> -r	lav <mark>a</mark> -rmos	lav <mark>a</mark> -rdes	lav <mark>a</mark> -rem
	INF.IMPERS		I	РТСР		ER
	lav <mark>a</mark> -r		lav <mark>a</mark> -do/a		lav <mark>a</mark>	-ndo

lavar 'wash' (class1)

TAM	1SG	2SG	3SG	1PL	2PL	3PL
IND.PRS	lav- o	lav <mark>a</mark> -s	lav <mark>a</mark>	lav <mark>a</mark> -mos	lav <mark>a</mark> -is	lav <mark>a</mark> -m
IND.FUT	lav <mark>a</mark> -rá	lav <mark>a</mark> -rás	lav <mark>a</mark> -rá	lav <mark>a</mark> -remos	lav <mark>a</mark> -reis	lav <mark>a</mark> -rão

beber 'drink' (class2)

TAM	1SG	2SG	3SG	1PL	2PL	3PL
IND.PRS	beb- o	beb <mark>e</mark> -s	beb <mark>e</mark>	beb <mark>e</mark> -mos	beb <mark>e</mark> -is	beb <mark>e</mark> -m
IND.FUT	beb <mark>e</mark> -rá	beb <mark>e</mark> -rás	beb <mark>e</mark> -rá	beb <mark>e</mark> -remos	beb <mark>e</mark> -reis	beb <mark>e</mark> -rão

subir 'go up' (class3)

TAM	1SG	2SG	3SG	1PL	2PL	3PL
IND.PRS	sub- o	sob <mark>e</mark> -s	sob e	sub i -mos	subi-s	sob <mark>e</mark> -m
IND.FUT	sub <mark>i</mark> -rá	sub <mark>i</mark> -rás	sub <mark>i</mark> -rá	sub i -remos	subi-reis	sub <mark>i</mark> -rão

Bonami, Henri & Luís (Paris/Coimbra)

► Some inflectional processes & morphomic patterns

•	regular affixation:	lavá- va- lava- r-ía	mos 'v -mos 'v	vash.IND.PS vash.COND.	5T.IPFV.1PL' 1PL'
•	stem selection:	<mark>faz</mark> er 'do <mark>faç</mark> o 'do <mark>far</mark> ei 'do	.INF' .IND.FUT.: .IND.FUT.:	15G' 15G'	
•	suffix allomorphy:	lav- <mark>ei</mark> , lav- o ,	beb- i , bebe- u ,	dorm- i dormi- u	IND.PST.PFV.1SG IND.PST.PFV.3SG
	syncretisms: lav	ava IND.I	PST.IPFV.1	3.SG	
►	hetroclisis: bebi	do, subid	o IND.P	ST.PFV.2 3.	SG

Paradigm downsizing

The verbal paradigms of Korlai Portuguese, Daman Portuguese and Diu Portuguese

- ▶ loss of P/N agreement (as is typical of creole languages)
- Only four tense values: unmarked, past, gerund and completive.
- each lexeme has 4 inflected verb forms

BASE	lav <mark>a</mark>	'go up'				
PAST	lav-o	'went up'				
COMPLETIVE	lav <mark>a</mark> -d	'gone up'				
PROGRESSIVE	lav <mark>a</mark> -n	'going up'				
Daman Creole Portuguese						

The survival of the inflection class system



Inflectional class extension in Indo-Portugueuse

 In Korlai Portuguese and Daman Portuguese, verbs of substrate origin (Marathi/Gujrati), take an u theme vowel

	beblu (DCP) 'mutter'	tepu(KCP) 'heat up'
BASE	bebl <mark>u</mark>	tep <mark>u</mark>
PAST	bebl <mark>u</mark>	tep <mark>u</mark>
PROGRESSIVE	bebl <mark>u</mark> -n	tep <mark>u</mark> -n
COMPLETIVE	bebl <mark>u</mark> -d	tep <mark>u</mark> -d

 Indo-Portuguese creoles of Korlai and Daman have adopted and extended the Portuguese conjugation class system

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Tiny paradigms, but true morphology

► The Mauritian verbal paradigm has a two-cells paradigm

LF	prize	рвіје	vãde	amãde	kõsiste	egziste	fini	vini
SF	priz	ркіје	van	amãd	kõsiste	egzis	fini	vin
TRANS.	'break'	'mix'	'sell'	'amend'	'consist'	'exist'	'finish'	'come'

 Morphological alternation, (contra Corne, 1982): the alternation is not phonologically predictable



The morphosyntactic import of the distinction

- The alternation encodes two types of features: syntactic and discursive (Henri, 2010)
 - The division of labor between LF and SF is morphomic (Aronoff, 1994)

Distribution SF LF

		Syntax		
	ocus	V with nonclausal complements (NPs,APs,ADVPs,VPs,PPs)	yes	no
9	٦	V with no complements	no	yes
2	In	V with clausal complements	no	yes
	Š	V with extracted complements	no	yes
		Verum Focus	no	yes
		Morphology		
		reduplicant	yes	no
		base	yes	yes

Constraints on verb form alternation

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The French conjugation system

- ► 51 cells
- Iaver 'wash':

Finite forms									
TAM	1SG	2SG	3SG	1PL	2PL	3PL			
PRS.IND PST.IND.IPFV PST.PFV FUT.IND PRS.SBJV PST.SBJV	lav lav-ε lavε lavə- ε -ε lav lava- s	lav lav-ε lava lavə- ε -a lav lava- s	lav lav-ε lava lavə- ε -a lav lava	lav-5 lav-j-5 lava-m lavə- ʁ -5 lav-j-5 lava- s -j-5	lav-e lav-j-e lava-t lavə- u -e lav-j-e lava- s -j-e	lav Jav-s Jave-s Jave-s Java-s			
COND IMP	 1avə- k -8	lavə- 8 -8 lav	 1979- R -5	lavə- u -j-ə lav- <mark>ə</mark>	lavə- u -j-e lav-e	 Iavə- <mark>R</mark> -E			

	Nonfinite forms										
INF			PST.PTCP								
	PR3.PTCP	M.SG	F.SG	M.PL	F.PL						
lave	lav-ã	lave	lave	lave	lave						

The French conjugation system

- Only 1 productive conjugation (Kilani-Schoch and Dressler, 2005)
- Dozens of irregular classes of various sizes, with cross-cutting subregularities
- The Bonami and Boyé (2002): full description with 12 principal parts

MSPS	LAVER	FINIR	SORTIR	BOUILLIR	PERDRE	BOIRE	ÊTRE
IND.PRS.1.PL	lav <mark>õ</mark>	finis <mark>õ</mark>	sort õ	buj õ	pɛrdɔ̃	byv <mark>õ</mark>	som
IND.PRS.3.PL	lav	finis	sort	bu	pɛrd	bwav	et
IND.PRS.3.SG	lav	fini	sor	bu	pɛr	bwa	ε
PTCP PRS	lav	finis <mark>õ</mark>	sort õ	buj õ	pɛrdɑ̃	byy ũ	et ũ
IMP.2.SG IMP.1.PL SBJV.PRS.3.SG SBJV.PRS.1.PL INF	lav lavõ lav lav lave	finis finis finis finis finis fini	sortă sort sort sort sort sort	buju bu bujjõ bu bujji	perdo per perdo perd perdjõ perd	byv ö byv ö bwav byv jö bwa	swa swajõ swa swaj <mark>j</mark> õ ɛt
IND.FUT.3.SG	lav	fini	sorti	bu	pɛrd	bwa	sə
IND.PST.PFV.3.SC	Glava	fini	sorti	buji	pɛrdi	by	fy
PTCP.PST	lave	fini	sorti	buji	pɛrdy	by	ete

Origin of the Mauritian forms

- All French first conjugation verbs have a striking contrast between a bare stem form and a longer form ending in -e
 - ► Syncretism between the PRS.SG, the PRS.3PL, the IMP.2SG, the SBJV.SG, and the SBJV.3PL (bare stem)
 - Syncretism between the infinitive, the past participle, the PRS.2PL and the IMP.2PL
- ▶ (Becker and Veenstra, 2003; Veenstra, 2004) argue that these two syncretic forms are at the origin of the Mauritian LF and SF.
- Substrate languages may have had an influence on the function of the alternation (Wal and Veenstra, 2011), but its form definitely stems from statistically prevalent patterns in the input data from French.
 - Supporting evidence:
 - In 18th century French, infinitive final -r was consistently dropped for verbs of all conjugations, except those with a final schwa (Rosset, 1911, Y.-C. Morin, p.c.).
 - Thus in the French to which future creole speakers were exposed, few verbs marked a difference between INF and PST.PTCP.

Elaborating on Becker & Veenstra's hypothesis

For those verbs which did mark a difference between INF and PST.PTCP in 18th century French, the infinitive was overwhelmingly chosen as the long form:

17th-18th c. French	INF=PST.PTCP	INF	PST.PTCP	PRS.SG
# of Mauritian verbs	1769	118	12	11

Origin of the Mauritian long forms; type frequencies compiled from (Carpooran, 2009)

Note that 9 of the 12 LF with a French PST.PTCP as origin end in -r (e.g. ouver 'open'), and could thus have been mistakenly identified as an INF.

Elaborating on Becker & Veenstra's hypothesis

- ► Crucial point:
 - In no instance did a Mauritian verb take up a French INF as its long form and the corresponding irregular PRS.SG as its short form.
 - Rather, when an irregular PRS.SG was inherited, it has always been inherited as a syncretic LF|SF
- In addition, short forms are absent from the early Mauritian texts compiled by Baker et al. (2007)
- Conclusions:
 - All the evidence points to a single form (almost always the infinitive) as the etymological origin of the whole Mauritian paradigm
 - Thus the alternation between LF and SF is a morphological innovation of Mauritian, not the adaptation of a French alternation.
 - This does not mean that the existence of an X ~ Xe alternation in French played no part in shaping the Mauritian system
 - > The alternation may have been interpreted at first as a rule of sandhi.

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The structure of the argument

- Previous work (e.g. Luís 2008) has focused on why there is morphology in some creoles, and not in others.
- Here we address another issue: in Creoles that have inflectional morphology, why do they have that particular type of inflectional morphology.
- ► The guiding idea: imperfect untutored SLA (see above)
- Learners should be sensitive to statistically salient features of the word tokens they hear
- We compare statistical properties of French and European Portuguese inflection to see whether they correlate with the different features of the creoles under investigation.
- In the absence of large corpora of spoken 18th century Romance languages, we extrapolate on the basis of reliable data from the contemporary languages (both written and spoken)

Data sources

Written EP **CETEMPúblico** (Santos and Rocha, 2001): tagged corpus of Portuguese (180M words), taken from issues of the newspaper *Público* from 1991 to 1998.

Written French 2 years of the newspaper *Le Monde* (2003–2004; 38.5M words), tagged and lemmatized using MEIt (Denis and Sagot, 2009)

Spoken EP and French C-ORAL-ROM (Cresti et al., 2004), collection of balanced corpora of spoken French, Italian, Spanish and Portuguese (~ 300000 words for each language), transcribed, tagged and lemmatized

Informal French Lexique 3 (New et al., 2007): database of French inflected words with frequency data compiled from film subtitles

Bonami, Henri & Luís (Paris/Coimbra)

Comparing inflection class size

Both languages have a very prominent first conjugation

	Type frequency	Token frequency	Token frequency		
	(written corpus)	(written corpus)	(spoken corpus)		
Portuguese	75.9%	50.0%	30.11%		
French	88.7%	45.0%	29.56%		
Proportion of first conjugation verbs in both languages					
(data from CETEMPúblico, Le Monde and C-ORAL-ROM)					

Not much can be concluded from this, because class membership may have varied considerably in the last 300 years

Comparing inflection class visibility

- Inflection class visibility is the extent to which the shape of the forms filling paradigm cells is informative on the inflection class that form belongs too.
- Theme vowels are clear contributors to inflection class visibility.



Comparing inflection class visibility

- In EP, almost all cells in the paradigm contain a theme vowel providing diagnostic information on the conjugation class
 - Ignoring the strange case of the present subjunctive, this is true for all but 1 (PRS.IND.1SG) of the 60 paradigm cells
- By contrast, in French, only the infinitive, the past participle, the simple past and the (barely used) past subjunctive contain a theme vowel giving unambiguous information on conjugation class.
 - That is, only 14 out of 51 cells are diagnostic.

	Type frequency	Token frequency (written corpus)	Token frequency (spoken corpus)	
Portuguese	98%	99.96%	92.57%	
French	27%	33.77%	28.53%	
Proportion of paradigm cells with a diagnostic vowel alternation				
(data from CETEMPúblico, Le Monde and C-ORAL-ROM)				

- Inflection class is much more prominent statistically in Portuguese speech than in French speech
- In untutored SLA, acquiring inflection classes is easy and useful for Portuguese, hard and inefficient for French
- If creolization has some relationship to untutored SLA, the inheritance of a conjugation class system in Indo-Portuguese and its disappearance in Mauritian is to be expected.

Recasting Becker & Veenstra's argument

 Learners of French are strongly attuned to an X ~ Xe alternation. In terms of token frequency:

	among 1st co	nj. tokens	among all verb tokens		
	C-ORAL-ROM	lexique 3	C-ORAL-ROM	lexique 3	
'long form'	49.4%	49.1%	14.6%	19.3%	
'short form'	40%	40.1%	11.8%	15.8%	
contrasting forms	89.4%	89.2%	26.4%	35.2%	

Visibility of the long/short alternation in French

- There is a strong incentive to interpret this as significant, although because of syncretism, there is little evidence in the input on the morphosyntactic use of the alternation in the lexifier.
- If creolization has some relationship to untutored SLA, it is not surprising that this alternation was integrated as a morphological innovation in Mauritian.

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- We have shown that:
 - Indo-Portuguese kept and extended the inflection class system of its lexifier
 - Mauritian innovated a morphological distinction absent from its lexifier
 - In both cases, statistical characteristics of the input data from the lexifier helps explain what kind of morphology is found in the creole
- New type of evidence showing that untutored SLA played an important role in shaping of creole verbal morphology.
 - Limits of this study:
 - Based on corpora from the contemporary languages
 - Lack of knowledge of the dialectal characteristics of European immigrants' speech
 - Lack of knowledge of the extent of substrate influence
 - If anything, this highlights the need for better descriptions of the early stages of creole formation.

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